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PATENT
Attorney Docket No. 47004.000030
Group Art Unit: 2761

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APR 20 2000

In re Application of :

Group 2700

Applicant: Barton BARRY, et al.

Serial No.: 09/391,460

Filed: September 8, 1999

For: **FINANCIAL ADVICE
STRATEGY SYSTEM**



**SUPPLEMENTAL
INFORMATION
DISCLOSURE
STATEMENT**

April 18, 2000

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant respectfully submits the following information for the Examiner's consideration. Enclosed for the Examiner's convenience are (a) a copy of Form PTO 1449 listing six (6) references, and (b) copies corresponding to the references.

In accordance with 37 C.F.R. § 1.97, by submitting this disclosure Applicants are not representing or admitting that any of said references are prior art or that they are material to patentability.

Applicants respectfully request that the Examiner indicate the enclosed references have been considered in this application by returning a copy of the Form PTO-1449 with the Examiner's initials in the left column per MPEP 609.

This Information Disclosure Statement is submitted before the mailing of a first

Office Action. Accordingly, no fees are due. However, if any fees are incurred upon the filing of this Information Disclosure Statement, please charge the undersigned's Deposit Account No. 50-0206.

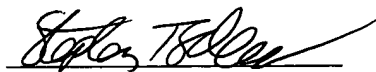
Respectfully submitted,

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Group 2700

By:



Stephen T. Schreiner
Hunton & Williams
1900 K Street, .W.
Suite 1200
Washington, D.C. 20006-1109
Telephone (202) 955-1500
Facsimile (202) 778-2201

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Author: Schreiner, Stephen T., 08494

Typist: Schreiner, Stephen T., 08494

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